

Analyzing Computational Models

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Outline

Theory: Track A vs Track B

- ▶ Some basic background
- ▶ Some examples: two presentations from last week at Tulane
- ▶ Two more examples: Security-related work
- ▶ My own research: *Continuous random variables*

Mathematical Models

Mathematical constructs – systems – that model computational processes

Examples:

- ▶ Operational models (e.g., automata):
 - ▶ Give step-by-step representation of computational processes
 - ▶ Good for understanding how a process evolves, or finding bugs
 - ▶ Often too low-level to *prove* properties
- ▶ Denotational models (e.g., domains):
 - ▶ Give mathematical models of computational processes
 - ▶ High level – abstract away from low-level details
 - ▶ Good for proving process properties
 - *Compositional*
 - *Automated tool support (proof assistants)*

Domains and Computability

- ▶ *Church-Turing Thesis:*

Partial recursive functions $f: \mathbb{N} \rightarrow \mathbb{N}$ are the computable functions.

Domains and Computability

▶ *Church-Turing Thesis:*

Partial recursive functions $f: \mathbb{N} \rightarrow \mathbb{N}$ are the computable functions.

▶ Modeling partial recursives:

▶ $f \leq g$ iff $\text{dom } f \subseteq \text{dom } g$ & $g|_{\text{dom } f} = f$

– *Extensional order*

▶ $(\mathbb{N} \rightarrow \mathbb{N}, \leq)$ chain complete partial order

– $\text{sup } C = \bigcup \{f \mid f \in C\}$

▶ $f = \text{sup}_{n \in \mathbb{N}} f|_{\{0, \dots, n\}}$

Domains and Computability

► *Church-Turing Thesis:*

Partial recursive functions $f: \mathbb{N} \rightarrow \mathbb{N}$ are the computable functions.

► $F: (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$ *Scott continuous* if $F(\sup C) = \sup F(C)$ for every chain $C \subseteq (\mathbb{N} \rightarrow \mathbb{N})$.

► *Example:*

$$F(f)(n) = \begin{cases} 1 & \text{if } n = 0, \\ n \cdot F(f)(n-1) & \text{if } F(f)(n-1) \text{ is defined,} \\ \text{undefined} & \text{otherwise.} \end{cases}$$

► $Fac: \mathbb{N} \rightarrow \mathbb{N}$ satisfies $Fac = \text{FIX}(F) = \sup_n F^n(\emptyset)$.

Domains and Computability

► *Church-Turing Thesis:*

Partial recursive functions $f: \mathbb{N} \rightarrow \mathbb{N}$ are the computable functions.

► *Knaster-Tarski-Scott Fixed Point Theorem:*

Each Scott continuous selfmap $F: (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$ has a least fixed point, $\text{FIX}(F) = \sup_n F^n(\emptyset)$.

► *Myhill-Shepherson Theorem:*

The partial recursives are those $f \in \mathbb{N} \rightarrow \mathbb{N}$ satisfying $f = \text{FIX}(F)$ for some Scott continuous $F: (\mathbb{N} \rightarrow \mathbb{N}) \rightarrow (\mathbb{N} \rightarrow \mathbb{N})$.

► *Church-Turing-Scott Thesis:*

The computable functions are those that are least fixed points of Scott continuous selfmaps of $(\mathbb{N} \rightarrow \mathbb{N})$.

Domains and Programming Languages

► *More Abstractly (Scott, 1969):*

The lambda calculus admits a model $M = [D^\infty \rightarrow D^\infty]$ where every term is a Scott continuous selfmap of a recursively defined domain $D^\infty \simeq [D^\infty \rightarrow D^\infty]$.

- More generally, every model of the lambda calculus is a reflexive object in some *cartesian closed category*.
 - Like Set - category of sets and functions
 - Only known models are categories of domains

Domains and Programming Languages

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▶ *Scott's Program:*

- ▶ Data types are partially ordered structures
- ▶ Programs are Scott continuous maps over data types
- ▶ Cartesian closed categories of *domains* are natural denotational models

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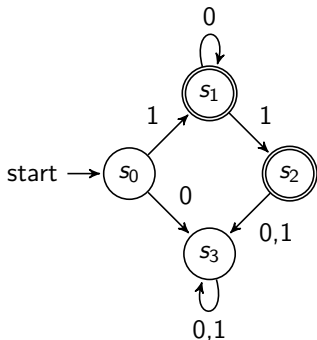
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- ▶ *Moggi's Program:*

- ▶ Use *monads* to model *computational effects*:
 - Power domains for nondeterminism,
 - Continuations, exceptions, etc.
- ▶ Each arises as family of algebras for an endofunctor on a category of domains.

Automata

- ▶ Automata are among the simplest computational models
 - ▶ Simple graphical representation
 - ▶ Illustrate how computations unfold
 - ▶ Easy to write (for simple processes)
 - ▶ Limited use for complicated processes
 - ▶ Not useful for proving general properties
- ▶ *Simple Example:*



Language accepted by A :

$$L_A = \{10^*, 10^*1\}$$

From Automata to Domains

- ▶ A - finite alphabet $\Rightarrow A^\infty = A^* \cup A^\omega$ word monoid over A .
 - A^∞ partial order in *prefix order*:
 $s \leq t$ iff $su = t$ for some word u .
 - (A^∞, \leq) chain complete poset
- ▶ $L_A = \{10^*, 10^*1\} \subseteq \{0, 1\}^\infty$

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- ▶ $L_A = \{10^*, 10^*1\} \subseteq \{0, 1\}^\infty$
- ▶ L_A *not* closed under prefixes, ...
 - ... but $\downarrow L_A = \{s \in \{0, 1\}^\infty \mid s \leq u \in L_A\}$ is.
- ▶ $\downarrow L_A$ also closed under sups of chains.
 - So $\downarrow L_A = \downarrow 10^\omega \cup \{10^n 1 \mid n \geq 0\}$ is a domain.
 - Also a *safety property* (Alpern & Schneider)
 - Can't distinguish L_A from $L_B = \{1(00)^*, 10^*1\}$

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- ▶ $L_A = \{10^*, 10^*1\} \subseteq \{0, 1\}^\infty$

- ▶ Better map: $A_0 = A \cup \{\checkmark\}$; $s \mapsto s\checkmark : L_A \rightarrow A_0^\infty$.

Differentiates

$$\downarrow L_A = \downarrow\{10^n 1\checkmark \mid n \geq 0\} \cup \downarrow\{10^n \checkmark\} \cup \downarrow 10^\omega \text{ from}$$

$$\downarrow L_B = \downarrow\{10^n 1\checkmark\} \cup \downarrow\{1(0^{2n})\checkmark \mid n \geq 0\} \cup \downarrow 10^\omega.$$

- ▶ $L_A \mapsto \downarrow\{s\checkmark \mid s \in L_A\}$ is one-to-one on regular languages.

- Define $s \cdot t = \begin{cases} s_1 t & \text{if } s = s_1 \checkmark, s_1 \in A^*, \\ s & \text{otherwise.} \end{cases}$

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Supports all regular language constructs in the model

Report for MFPS, LICS and CSF

Last week, three leading theory conferences met at Tulane:

- ▶ MFPS - Mathematical Foundations of Programming Semantics
- ▶ LICS - Logic in Computer Science
- ▶ CSF - Computer Security Foundations Symposium
 - ▶ Three leading theory conferences.
 - ▶ Attracted 250 participants from US, Europe and Far East
 - ▶ Deliberately co-located to encourage interaction

Here are results from a selection of presentations:

Report from MFPS

- ▶ System T: simply typed λ -calculus + \mathbb{N} :

$$\mathcal{T} ::= \mathbb{N} \mid \mathcal{T} \times \mathcal{T} \mid \mathcal{T} \longrightarrow \mathcal{T}$$

$$P ::= x \mid MN \mid \lambda x : \mathcal{T}.M$$

Also includes a *recursor* R :

$$R 0 u v \rightarrow u$$

$$R (S t) u v \rightarrow v (R t u v) t$$

Report from MFPS

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- ▶ Devised by Gödel to prove relative consistency of arithmetic
- ▶ Simplest typed programming language

Report from MFPS

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$$\mathcal{T} ::= \mathbb{N} \mid \mathcal{T} \times \mathcal{T} \mid \mathcal{T} \longrightarrow \mathcal{T}$$

$$P ::= x \mid MN \mid \lambda x : \mathcal{T}. M$$

- ▶ At MFPS, Martín Escardó (Birmingham) proved the following:

The functions $f: \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$ denotable by terms of Gödel's System T are continuous, and the functions $f: 2^{\mathbb{N}} \rightarrow \mathbb{N}$ denotable by terms of Gödel's System T are uniformly continuous *using Agda to do the proof!*

- ▶ Agda proof assistant: Interactive system for writing and checking proofs; based on intuitionistic type theory, a foundational system for constructive mathematics developed by the Swedish logician Per Martin-Löf.

Report from LICS

- ▶ At LICS, Prakash Panangaden (McGill) used duality theory to explain Brzozowski's Algorithm (1964):

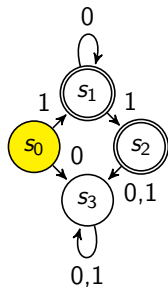
Input: DFA – $M = (S, A, s_0, F, \delta)$

- ▶ Reverse transitions, interchange initial and final states
- ▶ Determinize the result
- ▶ Take the reachable states
- ▶ Repeat

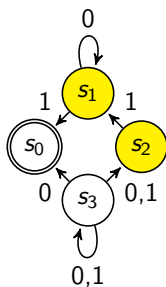
Result: The minimal DFA recognizing the same language!

- ▶ Joint work by Filippo Bonchi, Marcello Bonsangue, Helle Hvid Hansen, Prakash Panangaden, Jan Rutten and Alexandra Silva.

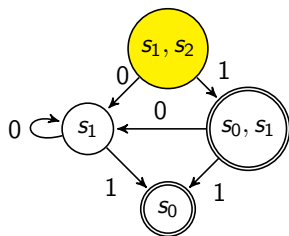
Brzozowski's Algorithm



$$L_A = 10^* + 10^*1$$

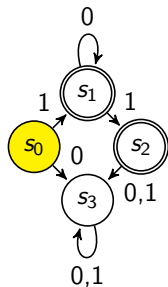


$$0^*1 + 10^*1$$

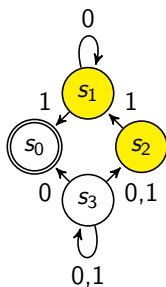


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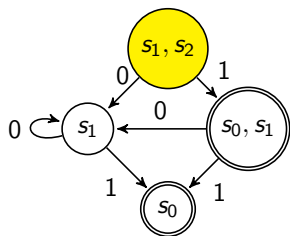
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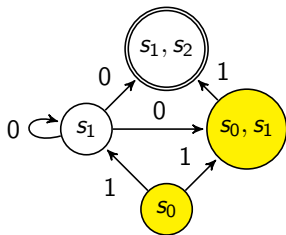
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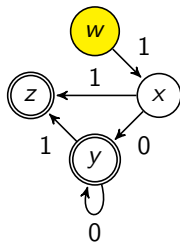
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Brzowski's Algorithm (cont'd)

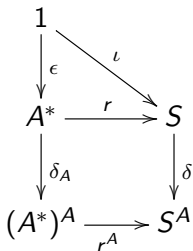
- ▶ Why does this work?
 - ▶ Paths in the dual automaton are *backtracking* from the final states toward the initial state.
 - ▶ *Reachability* assures paths go all the way back to the initial state.
 - Also assures all states in the dual automaton are *observable*
- ▶ Let's make this more precise

Reachability

$$\begin{array}{c} 1 \\ \downarrow \epsilon \\ A^* \\ \downarrow \delta_A \\ (A^*)^A \end{array}$$

$\epsilon(*) = \epsilon$ and $\delta_A(w): A \rightarrow A^*$ by $\delta_A(w)(a) = wa$.

Reachability

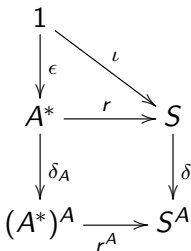


$$\iota(*) = s_0 \text{ and } r(w) = \begin{cases} s_0 & \text{if } w = \epsilon \\ \delta(r(u), a) & \text{if } w = ua. \end{cases}$$

$r^A: (A^*)^A \rightarrow S^A$ by $r^A(f) = r \circ f$.

Note: $r^A \circ \delta_A = \delta \circ r$, as is easily checked.

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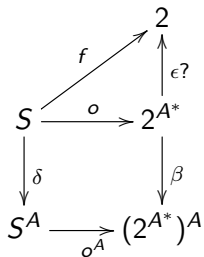
$r^A: (A^*)^A \rightarrow S^A$ by $r^A(f) = r \circ f$.

Note: $r^A \circ \delta_A = \delta \circ r$, as is easily checked.

An automaton is *reachable* if every state is reachable.

So, M is reachable iff r is a surjection.

Observability

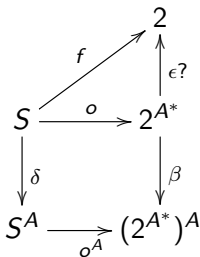


$2 = \{0, 1\}$, $f(s) = 1$ iff $s \in F$,
 $o(s) = \{w \mid (\exists s' \in S) s \xrightarrow{w} s'\}$,

$$\epsilon?(L) = \begin{cases} 1 & \text{if } \epsilon \in L, \\ 0 & \text{otherwise.} \end{cases}$$

$$\beta(L)(a) = \{w \mid aw \in L\}.$$

Observability



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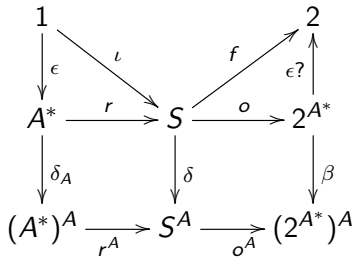
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An automaton is *observable* if distinct states generate distinct languages.

So, M is observable iff o is an injection.

Reachability and Observability



Determinization is crucial for the following:

Theorem: A deterministic automaton M accepting L is reachable iff $rev(M)$ is observable accepting $rev(L)$.

Corollary: M is minimal iff M is reachable and observable, iff r is a surjection and o is an injection.

What does all this have to do with Critical Infrastructure Protection?

- ▶ Cyberinfrastructure relies on computational components for proper functioning:
 - Military, financial, transportation, utilities, information....
 - All require secure command and control mechanisms.
- ▶ Show how to use *formal methods* to analyze and prove security protocols are correct.
 - ▶ Utilize process calculi (some probabilistic) and their models (usually domain-theoretic)
 - ▶ Reasoning is intricate and proofs are arcane and involved
 - ▶ Often aided by automated tools
- ▶ We'll discuss two examples:
 1. Another paper from the meeting, this time from CSF
 2. Example of *banking* using security automata of Schneider, modeled using *CSP-OZ* by Basin, Olderog and Sevinc

From CSF

At the Computer Security Foundations Symposium, Benjamin Pierce gave a talk about his new DARPA project, **Crash/SAFE**. Here's a rundown of **SAFE**:

- ▶ Clean-slate design of entire system stack:
 - ▶ Hardware
 - ▶ System software
 - ▶ Programming languages
- ▶ Support for critical security primitives at all levels (from hardware up)
 - ▶ Memory safety (avoid security breaches, e.g., buffer overflows, dangling pointers, etc.)
 - ▶ Strong dynamic typing
 - ▶ Information flow control (IFC) and access control
- ▶ Verification of key mechanisms deeply integrated into design process

From CSF

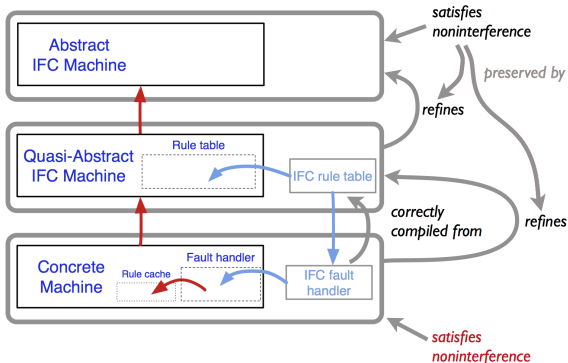
New hardware: Effective use of resources on security; remove compiler from TCB (partially); make security mechanisms available for writing low-level systems code

OS Level: “Zero-kernel OS”; no overprivileged component

Application level: **Breeze** – mostly functional, security-oriented PL; dynamic type- and security checks; every value annotated with an IFC label; labels public

A *crucial aspect* of the project is the use of formal methods to prove code correct using the Coq proof assistant.

Hardware Design



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Noninterference: A machine with observation $(\Omega, |\cdot|, \sim)$ satisfies *termination-insensitive noninterference* if for any observer $o \in \Omega$ and any pair of indistinguishable initial data $\iota_1 \sim_o \iota_2$ and pair of executions $Init(\iota_1) \xrightarrow{t_1} *$ and $Init(\iota_2) \xrightarrow{t_2} *$, $|t_1|_o \sim_o |t_2|_o$.

Secure Banking System

- ▶ Bank has *Users* who have *Accounts* and who can *Check Balances* and *Transfer Funds* between accounts
- ▶ Specifications:
 - ▶ Users and Accounts specified by the sets:
 $[UserId, AcclD, PIN, TN], \quad Val : \mathbb{PZ}, \quad Sum : \mathbb{PN}$
 - ▶ Support operations:
 - ▶ *login*
 - ▶ *logout*
 - ▶ *Check balance*
 - ▶ *Request transfer*
 - ▶ *Execute transfer*
 - ▶ *Abort*

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 - ▶ *Abort*
- ▶ Approach (work of Basin, Olderog & Sevinc):
 - ▶ Define components as security automata
 - ▶ Translate components into *CSP* (communications) + *OZ* (data).
 - ▶ Prove security using *CSP* models.

Security Automata

- ▶ $A = (Q, S, I, \delta)$ where:
 - Q – countable set of *states*
 - $S \subseteq Q$ – *start states*
 - I – countable set of *input symbols*
 - $\delta: Q \times I \rightarrow 2^Q$ *transition function*
- ▶ First devised by F. Schneider; variant of Büchi automata.

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 - Q – countable set of *states*
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 - $\delta: Q \times I \rightarrow 2^Q$ *transition function*
- ▶ Analysis proceeds by
 - 1) Writing processes as security automata
 - 2) Translating security automata into a *specification language*
 - 3) Proving correctness using a denotational model for specification language.

Security Automata

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 - Q – countable set of *states*
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 - I – countable set of *input symbols*
 - $\delta: Q \times I \rightarrow 2^Q$ *transition function*
- ▶ Specification language:
 - ▶ Combination of *CSP* and *Z*:
 - CSP* – process calculus based on communication events
 - Z* – based on set theory and predicate logic
 - used for data, state spaces and state transformations
 - ▶ Write specifications in *CSP-OZ* and prove they are correct
 - Translate everything into *CSP*
 - For finite data can use FDR tool to prove correctness

CSP Basics

CSP is a process calculus in which processes are specified by the following BNF:

$$P ::= STOP \mid SKIP \mid a \rightarrow P \mid P \square Q \\ \mid P \square Q \mid P \parallel_A Q \mid P \setminus A \mid X$$

where $a \in \text{Act}$, the set of (communication) actions, $A \subseteq \text{Act}$, and X is a process variable.

CSP Basics

CSP is a process calculus in which processes are specified by the following BNF:

$$P ::= STOP \mid SKIP \mid a \rightarrow P \mid P \sqcap Q \\ \mid P \square Q \mid P \parallel_A Q \mid P \setminus A \mid X$$

where $a \in \text{Act}$, the set of (communication) actions, $A \subseteq \text{Act}$, and X is a process variable.

Some examples:

- ▶ $(a \rightarrow P) \sqcap (b \rightarrow P)$ vs $(a \rightarrow P) \square (b \rightarrow P)$:

$$tr(a \rightarrow P) = \{\epsilon, a\} \cup \{a.t \mid t \in tr(P)\}$$

$$tr((a \rightarrow P) \sqcap (b \rightarrow P)) = \{\epsilon, a, b\} \cup \{a.t \mid t \in tr(P)\} \\ \cup \{b.t \mid t \in tr(P)\} \\ = tr((a \rightarrow P) \square (b \rightarrow P))$$

- ▶ $P \sqsubseteq_T Q$ iff $tr(P) \supseteq tr(Q)$.

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Some examples:

- ▶ Need stronger semantics – *Failures*:

$(a \rightarrow P) \sqcap (b \rightarrow P)$ can *refuse* a and b on the first step, but $(a \rightarrow P) \square (b \rightarrow P)$ cannot.

$Fail(P) = \{(t, A) \mid t \in tr(P) \ \& \ P \text{ can refuse } a \in A \text{ after } t\}$

- ▶ $P \sqsubseteq_F Q$ iff $Fail(P) \supseteq Fail(Q)$.

CSP Basics

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where $a \in \text{Act}$, the set of (communication) actions, $A \subseteq \text{Act}$, and X is a process variable.

- Processes can also name *channels*:

$c.t? \rightarrow P(t)$: process that listens on channel c and when receiving an input, then acts like $P(t)$.

$c.t! \rightarrow P$: process that sends output t on channel c and then acts like P .

Insecure Bank

► The Bank

$$B ::= (\text{login} \rightarrow (\text{Bal} \square \text{TranReq} \square \text{logout})) \parallel_C \text{ExecTran}$$
$$C = \{\text{ExecTran}\}$$
$$\text{login} ::= \square_{u \in \text{uid}} c_{\text{login}}.u? \rightarrow \text{SKIP}$$
$$\text{Bal} ::= \square_{a \in \text{AcctId}} c_{\text{Bal}}.a? \rightarrow c_{\text{Bal}}.s_a! \rightarrow \text{SKIP}$$
$$\text{TranReq} ::= c_{\text{TranReq}}.a_1?.a_2?.s? \rightarrow c_{\text{ExecTran}}.a_1!.a_2!.s! \rightarrow \text{SKIP}$$
$$\text{ExecTran} ::= c_{\text{ExecTran}}.a_1?.a_2?.s \rightarrow$$
$$(s_{a_1} := a_1 - s) \rightarrow (s_{a_2} := a_2 + s) \rightarrow \text{SKIP}$$
$$\text{logout} ::= c_{\text{logout}}.\text{bye}? \rightarrow \text{STOP}$$

Insecure Bank

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$$B ::= (\text{login} \rightarrow (\text{Bal} \sqcap \text{TranReq} \sqcap \text{logout})) \parallel_C \text{ExecTran}$$
$$C = \{\text{ExecTran}\}$$
$$\text{login} ::= \sqcap_{u \in \text{uid}} c_{\text{login}.u?} \rightarrow \text{SKIP}$$
$$\text{Bal} ::= \sqcap_{a \in \text{AcctId}} c_{\text{Bal}.a?} \rightarrow c_{\text{Bal}.s_a!} \rightarrow \text{SKIP}$$
$$\text{TranReq} ::= c_{\text{TranReq}.a_1?.a_2?.s?} \rightarrow c_{\text{ExecTran}.a_1!.a_2!.s!} \rightarrow \text{SKIP}$$
$$\text{ExecTran} ::= c_{\text{ExecTran}.a_1?.a_2?.s} \rightarrow$$
$$(s_{a_1} := a_1 - s) \rightarrow (s_{a_2} := a_2 + s) \rightarrow \text{SKIP}$$
$$\text{logout} ::= c_{\text{logout}.bye?} \rightarrow \text{STOP}$$

► A User $U ::= (\text{login} \rightarrow (\text{Bal} \sqcap \text{TranReq} \sqcap \text{logout}))$

$$\text{login} ::= c_{\text{login}.u!} \rightarrow \text{SKIP}$$
$$\text{Bal} ::= c_{\text{Bal}.a!} \rightarrow c_{\text{Bal}.s?} \rightarrow \text{SKIP}$$
$$\text{TranReq} ::= c_{\text{TranReq}.a_1!.a_2!.s!} \rightarrow \text{SKIP}$$
$$\text{logout} ::= c_{\text{logout}.bye!} \rightarrow \text{STOP}$$

Insecure Bank

$InSecBank ::= U \parallel_A B, \quad A = \{login, Bal, TranReq, logout\}$

- ▶ The Bank

$B ::= (login \rightarrow (Bal \sqcap TranReq \sqcap logout)) \parallel_C ExecTran$

- ▶ A User $U ::= (login \rightarrow (Bal \sqcap TranReq \sqcap logout))$

Securing the Bank

Add a secure *SecComp* and run in parallel with *InSecBank*:

$SecBank ::= InSecBank \parallel_B SecComp$

$B = \{login, Bal, TranReq, Abort, logout, ChkPin, ChkTranReq\}$

SecComp:

- ▶ $login ::= c_{login}.u?.p? \rightarrow ChkPin$

- ▶ $ChkPin ::= c_{ChkPin}.u?.p? \rightarrow$

$((u, p) \in Valid \rightarrow SKIP) \sqcap ((u, p) \notin Valid \rightarrow Abort)$

- ▶ $ChkAcctId ::= c_{ChkAcctId}.u?.a? \rightarrow \dots$

- ▶ $ChkTranReq ::= c_{ChkTranReq}.a?.n? \rightarrow \dots$

Properties of Secure Bank

$SecBank ::= InSecBank \parallel_B SecComp$

$B = \{login, Bal, TranReq, Abort, logout, ChkPin, ChkTranReq\}$

(*) No $ExecTran$ takes place before a successful $ChkTranReq$ can be shown using:

$$P_0 ::= ChkTranReq.T \rightarrow P_1$$
$$\square (\square_{a \in D} a \rightarrow P_0)$$
$$P_1 ::= ExecTran.a_1?.a_2?.s? \rightarrow P_0$$
$$\square (\square_{a \in D} a \rightarrow P_0)$$

$D = \{login, Bal, TranReq, Abort, logout, ChkPin, ChkTranReq\}$

Modeling Probability

- ▶ Standard model in domains is *Probabilistic Power Domain*

$Prob(D)$ – Probability measures with

$\mu \leq \nu$ iff $\mu(U) \leq \nu(U)$ ($\forall U$ open)

- ▶ Not well understood

Structure is hard to analyze

Adds complications of probabilistic order to order on D

$d \mapsto \delta_d: D \hookrightarrow Prob(D)$ order-embedding

- ▶ Doesn't “play well with other monads”.

Alternative: Random Variable model:

- ▶ Restricts order on probability to domain of random variable
- ▶ Separates orders, simplifies construction
- ▶ Standard approach in probability theory

Continuous Random Variables

- ▶ $f: (X, \mu) \rightarrow (Y, \Omega)$ random variable
 - ▶ (X, μ) probability space,
 - ▶ (Y, Ω) measure space
 - ▶ f is measurable: $f^{-1}(A)$ measurable ($\forall A \in \Omega$)
 - ▶ *Continuous* if X and Y topological spaces, f continuous and X, Y endowed with Borel σ -algebras.

Continuous Random Variables

- ▶ $f: (X, \mu) \rightarrow (Y, \Omega)$ random variable
- ▶ Assume X, Y domains endowed with Scott topology:

U Scott open iff $U = \uparrow U = \{d \in D \mid (\exists u \in U) u \leq d\}$ and
 $\sup C \in U \Rightarrow U \cap C \neq \emptyset, \forall$ chains C

BCD – Bounded complete domains & Scott continuous maps
 (D, \leq) has sups of chains & all non-empty sets have
greatest lower bounds

Continuous Random Variables

- ▶ $f: (X, \mu) \rightarrow (Y, \Omega)$ random variable
- ▶ Assume X, Y domains endowed with Scott topology:
 $CRV(X, Y) = \{(\mu, f) \mid \mu \in Prob(X), f: \text{supp } \mu \rightarrow Y\}$
 $\text{supp } \mu = \bigcap \{C \subseteq X \mid \mu(C) = 1 \text{ \& } C \text{ closed}\}.$

Continuous Random Variables

- ▶ $f: (X, \mu) \rightarrow (Y, \Omega)$ random variable
- ▶ Assume X, Y domains endowed with Scott topology:
 $CRV(X, Y) = \{(\mu, f) \mid \mu \in Prob(X), f: \text{supp } \mu \rightarrow Y\}$
- ▶ \mathcal{C} - Cantor tree

Goubault-Larrecq & Varacca, LICS 2011:

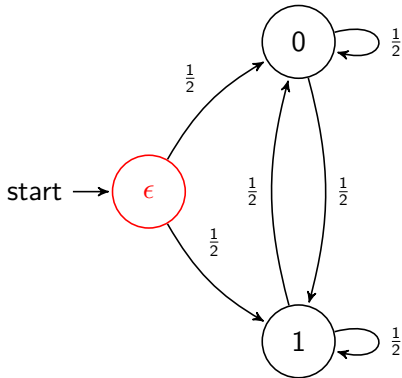
BCD closed under

$$\begin{aligned} \Theta RV(\mathcal{C}, P) &= \{(\mu, f) \in CRV(\mathcal{C}, P) \mid \mu \text{ thin}\} \\ (\mu, f) \leq (\nu, g) &\text{ iff } \pi_{\text{supp } \mu}(\nu) = \mu \text{ \& } f \circ \pi_{\text{supp } \mu} \leq g \end{aligned}$$

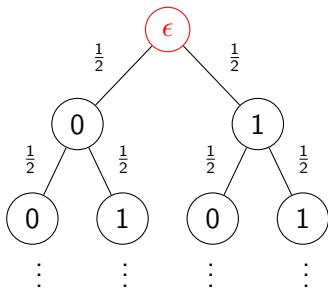
- ▶ Goal: Understand $\Theta RV(\mathcal{C}, P)$ construction for $P \in \text{BCD}$

Motivating the Order - Automata

- ▶ A (generative) probabilistic automaton A has a finite set S of states, a start state $s_0 \in S$, a finite set of actions, Act , and a transition relation $\rightarrow \subseteq S \times \text{Prob}(Act \times S)$.
- ▶ Here's a simple example with one action, *flip*:



Unfolding the automaton:



Taking place on \mathcal{C}

Motivating the Order - Trace Distributions

- ▶ Typically, such automata are modeled by their *trace distributions* $\mu_i \in \text{Prob}(\mathcal{C})$:

$$\mu_0 = \delta_\epsilon$$

$$\mu_1 = \frac{1}{2}\delta_0 + \frac{1}{2}\delta_1$$

$$\mu_2 = \frac{1}{4}\delta_{00} + \frac{1}{4}\delta_{01} + \frac{1}{4}\delta_{10} + \frac{1}{4}\delta_{11}$$

⋮

- ▶ Stripping away the probabilities, we have the following sets $X_i \subseteq \mathcal{C}$ on which the μ_i are *concentrated*:

$$\mu_0 \text{ concentrated on } X_0 = \{\epsilon\}$$

$$\mu_1 \text{ concentrated on } X_1 = \{0, 1\}$$

$$\mu_2 \text{ concentrated on } X_2 = \{00, 01, 10, 11\}$$

⋮

$$\mu_\infty \text{ concentrated on } X_\infty = 2^\omega$$

Motivating the Order - Trace Distributions

- ▶ Stripping away the probabilities, we have the following sets $X_i \subseteq \mathcal{C}$ on which the μ_i are *concentrated*:

μ_0 concentrated on $X_0 = \{\epsilon\}$

μ_1 concentrated on $X_1 = \{0, 1\}$

μ_2 concentrated on $X_2 = \{00, 01, 10, 11\}$

\vdots

μ_∞ concentrated on $X_\infty = 2^\omega$

- ▶ Notice that the X_n s are *antichains*, and

$X_0 \sqsubseteq_C X_1 \sqsubseteq_C X_2 \sqsubseteq_C \cdots \sqsubseteq_C X_\infty$, where

$$\begin{aligned} X \sqsubseteq_C Y &\Leftrightarrow X \subseteq \downarrow Y \text{ \& } Y \subseteq \uparrow X \\ &\Leftrightarrow \pi_X(Y) = X \end{aligned}$$

The Underlying Structure - Domains and Trees

► $2 = \{0, 1\}$

$2^\infty = 2^* \cup 2^\omega$ is a *domain* under the prefix order.

2^* – the finite words

2^∞ is *coherent*

Compact in the *Lawson topology*

Open sets: $U = \uparrow k \setminus \uparrow F$, $k \in 2^*$, $F \subseteq 2^*$ finite

The Underlying Structure - Domains and Trees

▶ $2 = \{0, 1\}$

$2^\infty = 2^* \cup 2^\omega$ is a *domain* under the prefix order.

▶ $AC(2^\infty) = (\{X \mid \text{Lawson-compact antichain}\}, \sqsubseteq_C)$

$$\begin{aligned} X \sqsubseteq_C Y &\Leftrightarrow X \subseteq \downarrow Y \ \& \ Y \subseteq \uparrow X \\ &\Leftrightarrow \pi_X(Y) = X \end{aligned}$$

Subdomain of $\mathcal{P}_C(2^\infty)$.

The Underlying Structure - Domains and Trees

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$2^\infty = 2^* \cup 2^\omega$ is a *domain* under the prefix order.

▶ $AC(2^\infty) = (\{X \mid \text{Lawson-compact antichain}\}, \sqsubseteq_C)$

▶ **Theorem:** $AC(2^\infty)$ is a bounded complete domain: all nonempty subsets have infima.

$$(\emptyset \neq \mathcal{F} \subseteq AC(2^\infty) \Rightarrow \inf \mathcal{F} = \text{Max}(\bigcap_{X \in \mathcal{F}} \downarrow X)$$

The Underlying Structure - Domains and Trees

▶ $2 = \{0, 1\}$

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▶ $AC(2^\infty) = (\{X \mid \text{Lawson-compact antichain}\}, \sqsubseteq_C)$

▶ **Theorem:** $AC(2^\infty)$ is a bounded complete domain: all nonempty subsets have infima.

Moreover, given $\{X_n\}_{n \in \mathbb{N}} \subseteq AC(2^\infty)$ directed and $X \in AC(2^\infty)$, TAE:

(i) $X = \sup_n X_n$

(ii) $X = \lim_n X_n$ in the Vietoris topology on $\Gamma(2^\infty)$.

▶ In particular, *any* $X \in AC(2^\infty)$ satisfies

$$X = \sup_n \pi_n(X) = \lim_n \pi_n(X), \text{ where}$$

$\pi_n: 2^\infty \rightarrow 2^{\leq n}$ is the canonical retraction.

Thin Probability Measures

- ▶ $\mu \in \text{Prob}(2^\infty)$ is *thin* if $\text{supp}_\wedge \mu \in \text{AC}(2^\infty)$.

Note: $\text{supp}_\wedge \mu$ is in the *Lawson* topology.

- ▶ Define $\mu \leq \nu$ iff $\pi_{\text{supp}_\wedge \mu}(\nu) = \mu$

Agrees with usual domain order (*qua* valuations)
/ functional analysis order via cones.

$$\Theta \text{Prob}(2^\infty) = (\{\mu \in \text{Prob}(2^\infty) \mid \mu \text{ thin}\}, \leq).$$

Thin Probability Measures

- ▶ $\mu \in \text{Prob}(2^\infty)$ is *thin* if $\text{supp}_\wedge \mu \in \text{AC}(2^\infty)$.
- ▶ **Proposition:** $(\Theta \text{Prob}(2^\infty), \leq)$ is a bounded complete domain: all nonempty subsets have infima.

$$(\emptyset \neq \mathcal{M} \subseteq \Theta \text{Prob}(2^\infty) \Rightarrow \forall \nu \in \mathcal{M},$$

$$\inf \mathcal{M} = \pi_M(\nu), \quad M = \inf_{\mu \in \mathcal{M}} \text{supp}_\wedge \mu)$$

Thin Probability Measures

- ▶ $\mu \in \text{Prob}(2^\infty)$ is *thin* if $\text{supp}_\wedge \mu \in \text{AC}(2^\infty)$.
- ▶ **Proposition:** $(\Theta\text{Prob}(2^\infty), \leq)$ is a bounded complete domain: all nonempty subsets have infima.

Moreover, given $\{\mu_n\}_{n \in \mathbb{N}} \subseteq \Theta\text{Prob}(2^\infty)$ chain and $\mu \in \Theta\text{Prob}(2^\infty)$, TAE:

(i) $\mu = \sup_n \mu_n$

(ii) $\mu = \lim_n \mu_n$ in the weak $*$ -topology on $\Theta\text{Prob}(2^\infty)$.

- ▶ In particular, *any* $\mu \in \Theta\text{Prob}(2^\infty)$ satisfies

$$\mu = \sup_n \pi_n(\mu) = \lim_n \pi_n(\mu), \text{ where}$$

$$\pi_n: \mathcal{C} = 2^\infty \rightarrow 2^{\leq n} \equiv \mathcal{C}_n \text{ is the canonical retraction.}$$

Adding Function Spaces

$$\blacktriangleright \mathcal{C}_n \equiv \pi_n(\mathcal{C}) \implies \mathcal{C}_n \xrightarrow{\iota_n} \mathcal{C} \xrightarrow{\pi_n} \mathcal{C}_n$$

$$P \in \text{BCD} \implies$$

$$f \mapsto f \circ \pi_n: [\mathcal{C}_n \longrightarrow P] \hookrightarrow [\mathcal{C} \longrightarrow P] \ \&$$

$$g \mapsto g \circ \iota_n: [\mathcal{C} \longrightarrow P] \twoheadrightarrow [\mathcal{C}_n \longrightarrow P].$$

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$$\blacktriangleright P \in \text{BCD} \implies [\mathcal{C} \longrightarrow P] \in \text{BCD}:$$

$$[\mathcal{C} \longrightarrow P] \simeq \lim_n [\mathcal{C}_n \longrightarrow P] \simeq \lim_n P^{\mathcal{C}_n}.$$

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Defining the Model

- ▶ $\Theta \text{Prob}(\mathcal{C}) \times [\mathcal{C} \longrightarrow P] \in \text{BCD}$ if $P \in \text{BCD}$.

Adding Function Spaces

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Defining the Model

- ▶ $\Theta \text{Prob}(\mathcal{C}) \times [\mathcal{C} \longrightarrow P] \in \text{BCD}$ if $P \in \text{BCD}$.
- ▶ $\Theta \text{RV}(2^\infty, P) = \{(\mu, f) \mid \mu \in \Theta \text{Prob}(A^\infty), f: \text{supp } \mu \longrightarrow P\}$

– retract of $\Theta \text{Prob}(\mathcal{C}) \times [\mathcal{C} \longrightarrow P]$:

$(\mu, f) \mapsto (\pi_Y(\mu), f \circ \pi_Y)$ is the projection

where $Y = \text{supp } \mu$.

Possible Applications

- ▶ Allow *modular* construction to add probability to existing models:
 - Lynch, et al.'s Timed I/O Automata
 - *CSP* models
- ▶ Part of program to bring *information theory* to computational models
 - Have results about entropy and channel capacity using domain theory
 - Could apply to programs as channels
- ▶ Potential application to quantum information

The End

Thank You!