

# Probabilistic Automata, Probabilistic Models and Crypto-protocols

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Joint Work With  
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- Dolev-Yau model is unrealistic
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- Many approaches are arcane, especially in application of mathematical / statistical results to computational models.

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Different equations result in different models, but common factor is the need to combine probabilistic and computational reasoning.

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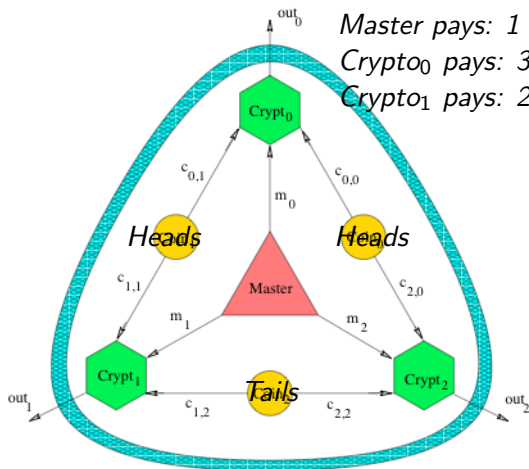
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- 5 Apply results to model

## Dining Cryptographers



*Master pays: 1 Agree, 2 Disagree*

*Crypt<sub>0</sub> pays: 3 Disagree*

*Crypt<sub>1</sub> pays: 2 Agree, 1 Disagree*

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- Canetti, Lynch, et al (2006) Probabilistic input / output automata augmented with *tasks*

Used to model oblivious transfer under universal composability

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## High-level vs. Low-level Nondeterminism

- *Low level:* Doesn't affect outcome
- *High level:* Can affect outcome

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## Schedulers:

- Set order of Low-level events  
*Choose payer, Inform cryptographers, Flip coins, Compare, Announce*
- Can't see outcome of High-level (Internal) choices

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- $S$  - countable set of states,  $s_0$  - start state
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$$D: S \times \text{Act} \rightarrow \text{Disc}(S)$$

## How to compose PIOAs

$\mathcal{A}_i = (S_i, s_{\mathcal{A}_i}, I_i, O_i, H_i, D_i)$ ,  $i = 1, 2$  are *compatible* if

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$\mathcal{A}_1 \parallel \mathcal{A}_2 = (S_{\mathcal{A}_1 \parallel \mathcal{A}_2}, s_{\mathcal{A}_1 \parallel \mathcal{A}_2}, I_{\mathcal{A}_1 \parallel \mathcal{A}_2}, O_{\mathcal{A}_1 \parallel \mathcal{A}_2}, H_{\mathcal{A}_1 \parallel \mathcal{A}_2}, D_{\mathcal{A}_1 \parallel \mathcal{A}_2})$  where:

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$\mathcal{A}$  is *closed* if  $I_{\mathcal{A}} = \emptyset$

## Tasks

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$$\begin{aligned} \text{Crypt}_i &:: \text{Flip\_coin}_i \parallel \text{Tell\_Crypt}_{i+1} \parallel \text{Input\_coin}_{i-1} \parallel \text{Compare}_i \\ &\parallel \text{Announce}_i \parallel \text{Read\_Announce}_{i-1} \parallel \text{Read\_Announce}_{i+1} \end{aligned}$$

## PIOA Semantics

$$\mathcal{A} = (S, s_0, I, O, H, D)$$

Execution fragment:

$$\alpha = s_1 a_1 s_2 a_2 \cdots \text{ with } s_{i+1} \in \text{supp}(\mu_i) \ \& \ (s_i, a_i, \mu_i) \in D$$

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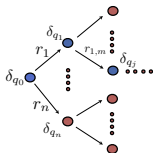
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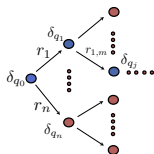
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Apply task schedule  $\rho = T_1 T_2 \cdots$

$$\delta_{s_0} \xrightarrow{a_{T_1}} \sum_s \mu_{s_0, a_{T_1}}(s) \delta_{s_0 a_{T_1} s}$$

$$\xrightarrow{a_{T_2}} \sum_s \mu_{s_0, a_{T_1}}(s) \left( \sum_{s'} \mu_{s, a_{T_2}}(s') \delta_{s_0 a_{T_1} s a_{T_2} s'} \right) \cdots$$

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$\Omega$  – Borel algebra generated by Scott open sets.

$\text{Prob}(\text{Frag}(\mathcal{A}))$  also chain complete under

$$\mu \sqsubseteq \nu \quad \text{iff} \quad \mu(U) \leq \nu(U) \quad (\forall U \text{ Scott open})$$



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$U = \uparrow A = \{\beta \mid (\exists \alpha \in A) \alpha \sqsubseteq \beta\}$  for some  $A \subseteq \text{Frag}^*(\mathcal{A})$ .

$\Omega$  – Borel algebra generated by Scott open sets.

$\text{Prob}(\text{Frag}(\mathcal{A}))$  also chain complete under

$$\mu \sqsubseteq \nu \quad \text{iff} \quad \mu(U) \leq \nu(U) \quad (\forall U \text{ Scott open})$$

In particular,  $\sum_{i \leq m} r_i \delta_{\alpha_i} \sqsubseteq \sum_{j \leq n} s_j \delta_{\beta_j}$  iff  $(\exists t_{i,j} \geq 0)$  with

- $t_{i,j} > 0 \Rightarrow \alpha_i \sqsubseteq \beta_j$ .
- $r_i = \sum_j t_{i,j} \quad (\forall i)$ .
- $\sum_i t_{i,j} \leq s_j \quad (\forall j)$ .

## The Giry Monad

$(X, \Omega)$  measure space  $\Rightarrow \text{Prob}(X, \Omega)$  is a measure space.

So,  $\text{Prob}$  defines an endofunctor on  $\text{Meas}$ . This is in fact a monad.

$\eta: X \rightarrow \text{Prob}(X)$  by  $\eta(x) = \delta_x$

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$$\begin{aligned} m \left( \sum_s \mu_{s_0, a_{T_1}}(s) \left( \sum_{s'} \mu_{s, a_{T_2}}(s') \delta_{s_0 a_{T_1} s a_{T_2} s'} \right) \right) \\ = \sum_{s, s'} \mu_{s_0, a_{T_1}}(s) \mu_{s, a_{T_2}}(s') \delta_{s_0 a_{T_1} s a_{T_2} s'} \end{aligned}$$

## Defining Apply

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## Incomparable Fragments

$\mu$  has *incomparable fragments* if  $\alpha, \beta \in \text{supp } \mu$  implies  $\alpha$  and  $\beta$  are incomparable initial segments in  $\text{Frag}^*(\mathcal{A})$ .

$\mu$  has incomparable fragments  $\Rightarrow \text{Apply}(\mu, T)$  has incomparable fragments.

## Defining Apply (cont'd)

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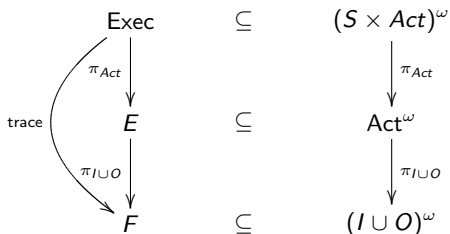
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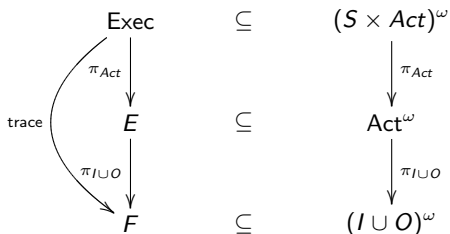
Hence  $\text{Apply}(\mu, \rho) = \sup_n \text{Apply}(\mu, T_1 \cdots T_n)$  is well-defined.

## Semantics of Observable Events



where  $E = \{\alpha |_{\text{Act}^\omega} \mid \alpha \in \text{Exec}\}$  and  $F = \{\alpha |_{(I \cup O)^\omega} \mid \alpha \in \text{Exec}\}$

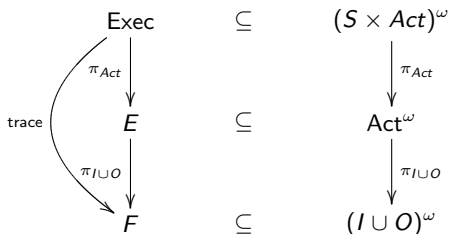
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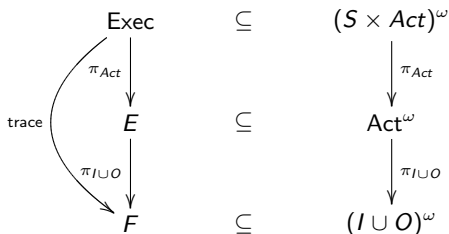


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Given  $\mathcal{A}$  and  $\rho = T_1 T_2 \dots$ , we'd like to have a *task scheduler* – determined in advance – that would represent applying  $\rho$  to any  $\mu \in \text{Disc}(\text{Frag}^*(\mathcal{A}))$ .

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## Schedulers

A *task scheduler* is a map

$$\sigma: \text{Frag}^*(\mathcal{A}) \rightarrow \mathbb{V}(\text{Act}) = \{\mu \mid \mu \text{ subprobability measure}\}$$



## Measures from Schedulers

Let  $\mathcal{A}$  be a task PIOA and let  $\sigma: \text{Frag}^*(\mathcal{A}) \rightarrow \mathbb{V}(\text{Act})$  be a task scheduler. If  $\alpha \in \text{Frag}^*(\mathcal{A})$ , we define

$$\epsilon_{\sigma, \alpha} = (1 - \|\sigma(\alpha)\|)\delta_{\alpha} + \sum_{a \in \text{Act}} \sigma(\alpha)(a) \left( \sum_s \mu_{\alpha, a}(s) \epsilon_{\sigma, \alpha a s} \right)$$

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Fact:

$$\epsilon_{\sigma, \alpha, 0} = \delta_{\alpha}$$

$$\epsilon_{\sigma, \alpha, n+1} = (1 - \|\sigma(\alpha)\|)\delta_{\alpha} + \sum_{a \in \text{Act}} \sigma(\alpha)(a) \left( \sum_s \mu_{\alpha, a}(s) \epsilon_{\sigma, \alpha a s, n} \right)$$

implies  $\epsilon_{\sigma, \alpha} = \sup_n \epsilon_{\sigma, \alpha, n}$  extends to infinite  $\alpha$ .

## Schedulers vs. Task Schedules

### Theorem

Let  $\mu \in \text{Disc}(\text{Frag}^*(A))$  have support consisting of incomparable fragments, and let  $\rho$  be a task schedule. Then there is a scheduler  $\sigma_\rho: \text{Frag}^*(\mathcal{A}) \rightarrow \mathbb{V}(\text{Act})$  such that  $\text{Apply}(\mu, \rho) = \epsilon_{\sigma_\rho, \mu}$ .

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In fact, for  $\mu = \sum_\alpha \mu(\alpha)\delta_\alpha$  and  $\rho = T\rho'$ , the scheduler  $\sigma_\rho$  is deterministic:

$$\sigma_\rho(\alpha) = \begin{cases} \delta_{a_{\text{ls}(\alpha), T}} & \text{if } \alpha \in A_T, \\ \sigma_{\rho'}(\alpha) & \text{if } \sigma_{\rho'}(\alpha) \neq 0 \text{ \& } \alpha \notin A_T, \\ 0 & \text{otherwise.} \end{cases}$$

$$\rho = T_1 T_2 \cdots \text{ infinite implies } \sigma_\rho = \bigcup_n \sigma_{T_1 \cdots T_n}.$$

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**Corollary** Let  $\mathcal{A}$  be a Task PIOA.

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- $\text{tdist}(\mathcal{A}) \subseteq \{\text{trace}(\epsilon_{\sigma, \delta_{s_0}}) \mid \sigma \text{ deterministic scheduler}\}.$

## Expansions and Monads

$(X, \Sigma_X), (Y, \Sigma_Y)$  measure spaces,  $R \subseteq X \times Y$ . The *lift* of  $R$  is  $\widehat{R} \subseteq \mathbb{V}X \times \mathbb{V}Y$  defined by

$$(\sum_x r_x \delta_x, \sum_y s_y \delta_y) \in \widehat{R} \Leftrightarrow \exists t: X \times Y \rightarrow [0, 1] \text{ with}$$

- $r_x = \sum_y t(x, y) \ (\forall x)$
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$\mathbb{V}: \text{Meas} \rightarrow \text{Meas}$  is a *monad*, and  $R \mapsto \mathcal{E}(R)$  utilizes the *lifting* and *multiplication* of the monad. In particular, if  $R \subseteq \text{Disc}(\text{Frag}(\mathcal{A})) \times \text{Disc}(\text{Frag}(\mathcal{A}))$ , define

$\mathcal{E}(R) \subseteq \text{Disc}(\text{Frag}(\mathcal{A})) \times \text{Disc}(\text{Frag}(\mathcal{A}))$  by

$$\begin{aligned} \mathcal{E}(R) &= (m \times m)(\widehat{R}) \\ &= \{ \langle \mu, \nu \rangle \mid (\exists p_i)(\exists \langle \mu_i, \nu_i \rangle \in R) \mu = \sum p_i \mu_i \ \& \ \nu = \sum p_i \nu_i \} \end{aligned}$$

## Simulations

Let  $(\mathcal{A}_i, \mathcal{R}_i)$  be two task PIOAs and let  $f: \mathcal{R}_1^* \times \mathcal{R}_1 \rightarrow \mathcal{R}_2^*$  be a function. We define  $\text{full}(f): \mathcal{R}_1^* \rightarrow \mathcal{R}_2^*$  by

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$R \subseteq \text{Disc}(\text{Exec}(\mathcal{A}_1)) \times \text{Disc}(\text{Exec}(\mathcal{A}_2))$  is a *simulation* if

- $(\mu_1, \mu_2) \in R \Rightarrow \text{tdist}(\mu_1) \subseteq \text{tdist}(\mu_2)$
- $(\delta_{s_{0,1}}, \delta_{s_{0,2}}) \in R$
- $(\exists f: \mathcal{R}_1^* \times \mathcal{R}_1 \rightarrow \mathcal{R}_2^*)(\forall \rho \in \mathcal{R}_1^*)(\forall T \in \mathcal{R}_1)$

$$\begin{aligned}(\mu_1, \mu_2) \in R \wedge \text{supp}(\mu_1) \subseteq \rho \wedge \text{supp}(\mu_2) \subseteq \text{full}(f)(\rho) \\ \Rightarrow \langle \text{Apply}(\mu_1, T), \text{Apply}(\mu_2, \text{full}(f)(\rho, T)) \rangle \in \mathcal{E}(R)\end{aligned}$$

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### Theorem (Canetti, et al)

Let  $\mathcal{A}_1$  and  $\mathcal{A}_2$  be comparable task-PIOAs that are closed and action-deterministic. If there exists a simulation relation from  $\mathcal{A}_1$  to  $\mathcal{A}_2$ , then  $\text{tdist}(\mathcal{A}_1) \subseteq \text{tdist}(\mathcal{A}_2)$ .

## Remember

$$\mathcal{M} \parallel \text{Crypt}_0 \parallel \text{Crypt}_1 \parallel \text{Crypt}_2$$

$$\mathcal{M} :: \text{Choose\_payer} \parallel \text{Inform\_Crypt}_0 \parallel \text{Inform\_Crypt}_1 \parallel \text{Inform\_Crypt}_2$$

$$\begin{aligned} \text{Crypt}_i :: & \text{Flip\_coin}_i \parallel \text{Tell\_Crypt}_{i+1} \parallel \text{Input\_coin}_{i-1} \parallel \text{Compare}_i \\ & \parallel \text{Announce}_i \parallel \text{Read\_Announce}_{i-1} \parallel \text{Read\_Announce}_{i+1} \end{aligned}$$



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### Task Schedule:

$\text{Choose\_payer}; \text{Inform\_Crypt}_0; \text{Inform\_Crypt}_1; \text{Inform\_Crypt}_2;$   
 $\text{Flip\_coin}_0; \text{Flip\_coin}_1; \text{Flip\_coin}_2; \text{Tell\_crypt}_1; \text{Tell\_crypt}_2; \text{Tell\_crypt}_0;$   
 $\text{Compare}_0; \text{Compare}_1; \text{Compare}_2; \text{Announce}_0; \text{Announce}_1; \text{Announce}_2$

## Remember

$$\mathcal{M} \parallel \text{Crypt}_0 \parallel \text{Crypt}_1 \parallel \text{Crypt}_2$$

$\mathcal{M} :: \text{Choose\_payer} \parallel \text{Inform\_Crypt}_0 \parallel \text{Inform\_Crypt}_1 \parallel \text{Inform\_Crypt}_2$

$\text{Crypt}_i :: \text{Flip\_coin}_i \parallel \text{Tell\_Crypt}_{i+1} \parallel \text{Input\_coin}_{i-1} \parallel \text{Compare}_i$   
 $\parallel \text{Announce}_i \parallel \text{Read\_Announce}_{i-1} \parallel \text{Read\_Announce}_{i+1}$

**Task Schedule:**

$\text{Choose\_payer}; \text{Inform\_Crypt}_0; \text{Inform\_Crypt}_1; \text{Inform\_Crypt}_2;$   
 $\text{Flip\_coin}_0; \text{Flip\_coin}_1; \text{Flip\_coin}_2; \text{Tell\_crypt}_1; \text{Tell\_crypt}_2; \text{Tell\_crypt}_0;$   
 $\text{Compare}_0; \text{Compare}_1; \text{Compare}_2; \text{Announce}_0; \text{Announce}_1; \text{Announce}_2$

$\mathcal{M}_i ::= \text{Master who chooses } \text{Crypt}_i$

$$\mathcal{M} := \sum_i r_i \delta_{\mathcal{M}_i}, \quad \sum_i r_i = 1$$

## Dining Cryptographers

One approach:

$\mathcal{M}_i ::=$  Master who chooses  $Crypt_i$

Show  $(\forall i)(\forall \sigma)(\forall O)$

$$\begin{aligned} \mu_\sigma(\mathcal{M}_{i+1} \parallel Crypto_0 \parallel Crypt_1 \parallel Crypt_2)(O)|_{Crypt_i} = \\ \mu_\sigma(\mathcal{M}_{i+2} \parallel Crypto_0 \parallel Crypt_1 \parallel Crypt_2)(O)|_{Crypt_i} \end{aligned}$$

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**Definition:** (Barghava & Palamidessi)

Protocol satisfies *strong probabilistic anonymity* if

$$(\forall \sigma)(\forall O) \mu_\sigma(O | \mathcal{M}_i) = \mu_\sigma(O | \mathcal{M}_{i+1}) = \mu_\sigma(O | \mathcal{M}_{i+2})$$

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But

$$\mu_\sigma(O | \mathcal{M}_i) = \frac{\mu_\sigma(O \wedge \mathcal{M}_i)}{\mu(\mathcal{M}_i)} = \mu_\sigma(O)$$

since the observation  $O$  is independent of which cryptographer  $\mathcal{M}$  chooses.